

# The Wealth Architect™ Platform



**A Premier Platform for  
Fee-Based Investment Advice**

A leading turnkey managed  
account solution.

**Wealth**  
Architect™

**FundQuest**

A BNP PARIBAS company 

## Simple, Powerful and Cost Effective Capabilities

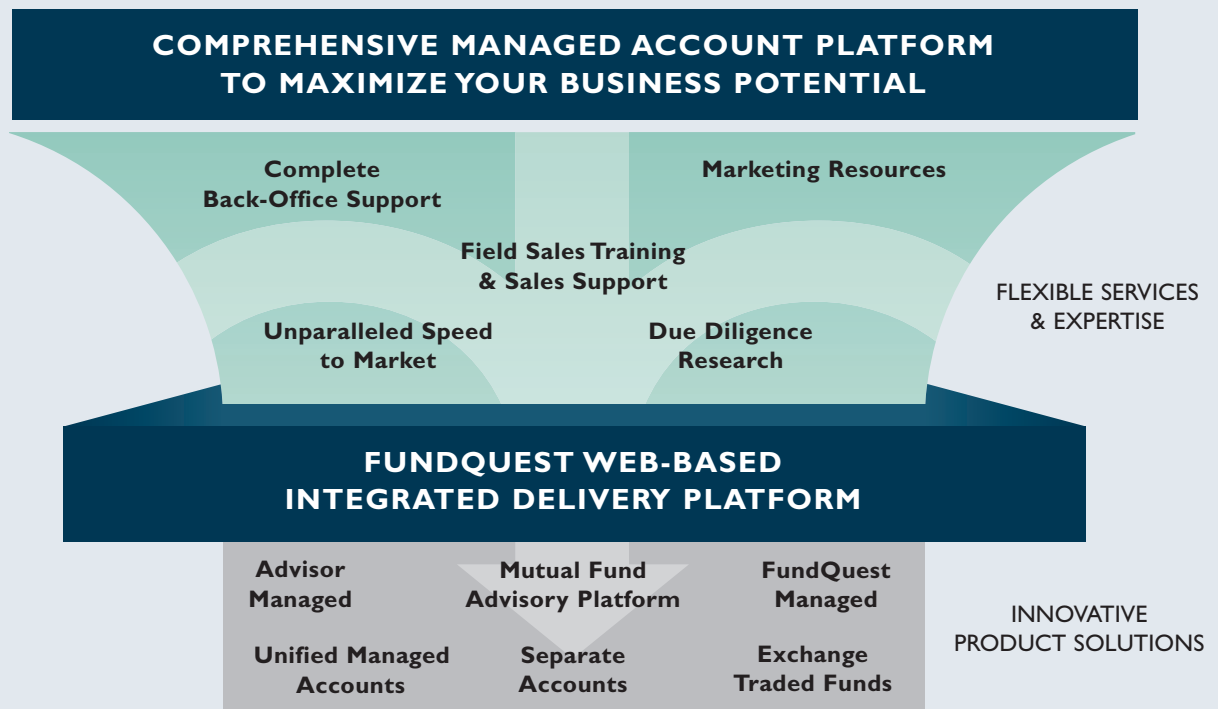
FundQuest is the best partner to help advisory firms grow their business. FundQuest provides open architecture investment research, the most innovative and most flexible technology platform, and the best overall support to both management and advisors.

- Most Flexible: a nearly unlimited universe of open architecture investment choices
- In-Depth Investment Due Diligence: a global investment management team to provide best-of-breed choices
- Most Innovative Technology for Advisor Productivity: a proven, efficient platform provides advisors with the tools for sales proposals, portfolio diagnostics, reporting, and 24/7 access to account information
- Best Overall Sales & Back Office Support: industry-leading services for training, assistance with proposal development, account maintenance, quarterly performance reporting, and prompt resolution of service requests

### Who We Serve

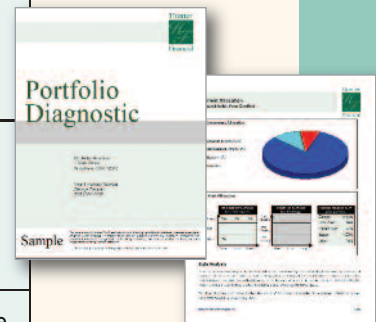
FundQuest has strategic partnerships with over 110 leading institutions

- Registered Investment Advisors
- Broker-Dealers
- Insurance Companies
- Banks & Wealth Management/Trust Companies
- Retirement Services Providers



# Wealth Architect Features and Benefits Summary

PLATFORM FEATURES	BENEFITS for INVESTMENT FIRM and ADVISORS
<b>Open Architecture</b> platform options	Provides a wide universe of non-proprietary investments
<b>Taxable and tax deferred investment accounts</b> including: individual, joint, custodial, trust, and retirement	Capture a broad range of client assets within many types of taxable and qualified accounts
<b>Web-based customized investment proposal</b> including asset allocation	Professional investment proposals support effective sales process
<b>Ongoing portfolio management</b> with rebalancing for FundQuest managed models	FundQuest maintains target portfolio allocations for investors without creating an administrative burden for advisors
<b>Professional, objective mutual fund screening and scoring</b> that is updated monthly based on current quantitative and qualitative information	Investment screens are updated monthly so advisors and their customers can receive the most current data on their investments for ongoing due diligence
<b>24 hour/7 day a week web access</b> to investor account information including: daily performance updates, transactions, and account history	The advisor can see and provide investors with information whenever and wherever they want
<b>Complete back-office functionality and support</b> including production and mailing of quarterly performance reports for each investor account	The advisor can focus on client service and depend on FundQuest for this administrative support
<b>Sales collateral and agreements</b> can be downloaded from website	It is easy to produce materials for investor clients without having to “reinvent the wheel”
<b>Effective reporting capabilities</b> for sales management and support for compliance management	Assists the firm to assess trends in asset growth and in managing risk and compliance responsibilities
<b>Highest quality support services</b> through FundQuest’s wholesale team	Provides ongoing onsite training and education, and prompt phone and email-based assistance



## FLEXIBLE ACCOUNT OPTIONS INCLUDE

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### **Mutual Fund Wrap Accounts**

- FundQuest managed model portfolios
- **Income Portfolios**—designed to address income needs of the investor

### **Separately Managed Accounts (SMA)**

- FundQuest managed model portfolios
- SMA managers selected through comprehensive due diligence

### **Unified Managed Account (UMA)**

- FundQuest managed model portfolios including: mutual funds, SMAs, & ETFs
- Broad-based diversification across asset classes
- Utilizes overlay portfolio management for coordination of investments and to provide tax management opportunities

### **ActivePassive Portfolios™**

- These FundQuest proprietary investment portfolios are invested in a blend of actively and passively managed strategies
- Portfolios include exchange-traded funds, index funds, mutual funds & separate account strategies

### **Advisor Choice Account**

- An advisor directed account where individual stocks and bonds may be held
- Investment options include: mutual funds, ETFs, SMAs, fee-based variable annuities and universal life portfolios

### **Russell Strategies**

- **Russell Mutual Fund Model Strategies**—A mutual fund wrap account with ten asset-allocated models, five core and five tax-managed, diversified across asset classes and styles
- **LifePoints® Funds Target Portfolio Series**—A fully asset-allocated fund of funds mutual fund account with regular rebalancing
- **Russell UMA**—Tax managed separate account and mutual fund combinations for affluent investors, in a single brokerage account, utilizing overlay management
- **Russell Separate Accounts**—Separate account portfolios comprised of specialist managers in a single brokerage account, utilizing overlay management

Investment Vehicles by Portfolio Size			
\$10,000 - \$50,000	\$50,000 - \$250,000	\$250,000 - \$1,000,000	> \$1,000,000
Russell LifePoints®			
Advisor Choice Variable Universal Life			
Active Passive Portfolios™			
Advisor Choice with Variable Annuities			
Advisor Choice - Mutual Funds, Separately Managed Accounts, ETFs, General Securities			
FundQuest Mutual Fund Model			
FundQuest Model Income Portfolios			
Russell Mutual Fund Model Strategies			
		FundQuest SMA Portfolios	
		FundQuest Model Unified Managed Accounts	
		Russell Separate Accounts	
		Russell UMA	

Please consult your FundQuest representative for exact program minimums

## Best of Breed Investment Choices

Wealth Architect was built to provide access to a wide universe of non-proprietary investment choices. FundQuest's analysts evaluate the many thousands of investment alternatives and, through an in-depth quantitative and qualitative process, identify leading mutual funds and separate account managers.

The expertise of FundQuest's global investment management professionals is deep and wide. The benefit of this expertise is important: advisors who use Wealth Architect can have a high level of confidence that the investments they propose to their clients have undergone a careful, professional, quantitative and qualitative evaluation process.

## FundQuest Investment Management — By the Numbers

- Over **18,000** mutual funds are screened
- More than **11,000** separately managed account strategies are screened
- **Nearly unlimited** investment product flexibility is offered
- Over **1,000** models are managed
- **48** professionals worldwide conduct qualitative and quantitative analysis
- **\$43** billion in assets under management and administration in the combined US and European operations of FundQuest

## Simple Competitive Cost Structure

The cost structure is simple. FundQuest's advisory fee is based on a percentage of the assets under management and administration placed on our platform, with lower fee percentages at higher asset levels.

# A Flexible, Innovative, Strategic Partner to Accelerate Your Business Growth

**Experience and Innovation:** Founded in 1993, FundQuest is the best partner to help advisory firms grow their business. FundQuest provides open architecture investment services and the most innovative advisor productivity tools to advisory institutions

**Best Services and Support:** FundQuest is the most flexible service provider with the best overall support services for both management and advisors

**The Choice of Leaders:** More than 110 institutions including RIAs, insurance companies, independent broker dealers, banks, and trust and wealth management firms partner with FundQuest

**Investment Management Expertise:** FundQuest's investment management team has more than 48 analysts in the combined US and European operations performing in-depth quantitative and qualitative investment due-diligence

**Retirement Income Management:** FundQuest has created the first comprehensive advisor-based platform for the integrated planning, implementation, and monitoring of income distribution

**Proven In the Field:** Institutional partners make FundQuest's web based platforms available to a national network of 49,000 financial advisors

**Operational Scale:** FundQuest has \$43 billion under management and administration in its combined US and European operations

**Financial Strength:** FundQuest is part of BNP Paribas, one of the world's largest financial services firms

Services are offered in the U.S. through FundQuest Incorporated, a Registered Investment Advisor, located at One Winthrop Square, Boston MA 02110

## For more information

please contact FundQuest at **888.253.9169**, visit **[www.fundquest.com/usa](http://www.fundquest.com/usa)**, or email us at **[info@fundquest.com](mailto:info@fundquest.com)**

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Russell Separate Accounts and Russell Unified Managed Accounts are products of Russell Investment Management Company (RIMCo). RIMCo provides no investment management services. The implementation of Russell Separate Accounts in investors' portfolios and related investment advice are provided through investment advisers and other financial intermediaries that are independent of RIMCo and its affiliates. Investment in one or more mixes is not a complete investment program. The LifePoints® Funds are a series of fund of funds which expose an investor to the risks of the underlying funds proportionate to their allocation. Investment in LifePoints Funds involves direct expenses of each fund and indirect expenses of the underlying funds, which together can be higher than those incurred when investing directly in an underlying fund.

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